



Dkt. 48962-A-PCT-US/JPW/EMW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Taka-Aki Sato and Junn Yanagisawa

Serial No : 09/230,111

(U.S. National Stage of PCT International

Application No. PCT/US97/12677,

filed 18 July 1997)

Filed

January 22, 1999

For

COMPOUNDS THAT INHIBT INTERACTION BETWEEN SIGNAL-TRANSDUCING PROTEINS AND THE GLGF (PDZ/DHR) DOMAIN

AND USES THEREOF

1185 Avenue of the Americas New York, New York 10036 February 12, 1999

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

TRANSMITTAL OF VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS UNDER 37 C.F.R.§1.9(f) AND §1.27(d) AND REQUEST FOR REFUND

A filing fee of \$1,210.00 was originally paid in connection with the filing of the above-identified patent application on January 22, 1999. The corresponding fee for a small entity is \$605.00. The deadline for making a request for refund is two months after the January 22, 1999 filing date, i.e., March 22, 1999. All rights in the United States under this application are either assigned or licensed to a small entity and this request is being timely made. A refund in the amount of \$605.00 is hereby requested pursuant to 37 C.F.R. §1.28(a). This refund should be credited to Deposit Account No. 03-3125 under Docket No. 48962-A-PCT-US.

In support of this request for a refund, applicants submit herewith a Verified Statement (Declaration) Claiming Small Entity Status under 37 C.F.R. §1.9(f) and §1.27(d) signed by Jack M. Granowitz. The Verified Statement is signed by an authorized official of the

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entity who has rights in the subject application, namely, The Trustees of Columbia University in the City of New York.

No fee is deemed necessary in connection with the filing of this However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

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Albert Wai-Kit Chan

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